DT07 Reg'd PCT/PT® 1 6 FEB 2005
PT0-1390 (Rev. 02-2005)
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| TRANSMITTAL LETTER TO | 000004.P001 | | | | | | | | |
|--|--|---|--|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO (IF DOWN, ZeQ7 EFR 1.5) | | | | | | | |
| • | | PRIORITY DATE CLAIMED | | | | | | | |
| INTERNATIONAL APPLICATION NO. PCT/ZA2003/000111 | INTERNATIONAL FILING DATE 14 August 2003 | 16 August 2004 | | | | | | | |
| METATHESIS CATALYST AND PROCESS | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US POTHA In Matthews DUBE Thamsanga Inn MOODLEY Donzil James SPAMER Alta MANISCHALKWAY Charl | | | | | | | | | |
| BOTHA, Jan Mattheus, DUBE, Thamsanga Ian, MOODLEY, Denzil James, SPAMER, Alta, VAN SCHALKWYK, Charl | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| 3. This is an express request to begin nati (5), (6), (9) and (21) indicated below. | | | | | | | | | |
| 4. X The US has been elected (Article 31). | The US has been elected (Article 31). | | | | | | | | |
| A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| is attached hereto (required | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. An English language translation of th a. is attached hereto. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. | | | | | | | | |
| | | | | | | | | | |
| 7. Amendments to the claims of the Inter | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| a. are attached hereto (requir | red only if not communicated by the Internal | tional Bureau). | | | | | | | |
| b. A have been communicated | by the International Bureau. | | | | | | | | |
| <u></u> | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | |
| | d. L_J have not been made and will not be made. | | | | | | | | |
| | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| O. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | | |
| 11. An Information Disclosure Statement | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | |
| | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | |
| 13. A preliminary amendment. | ✓ A preliminary amendment. | | | | | | | | |
| | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. A substitute specification. | ☐ A substitute specification. | | | | | | | | |
| | A power of attorney and/or change of address letter. | | | | | | | | |
| | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | |
| | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| Conv | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Copy of Search Report, Front Page of Publication No. WO2004/016351 | | | | | | | | |
| 20. Other items or information: | Other items or information: | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

DT12 Rec'd PCT/PTO 1 6 FEB 2005

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| U.S. APPLICATION | NO. (if knowr | n, see 37 CFR 1.5 | 5) INTERNATIONAL AP | PLICATION NO. | ATTORNEY'S DOC | KET NUMBER |
|---|---------------------|--------------------------------------|--|----------------------------|--|--|
| 10 | 1521 | 1,795 | PCT/ZA2003/ | 000111 | 000004.P001 | |
| The fellow | ing fees have b | been submitted | | | CALCULATIONS | PTO USE ONLY |
| 21. 🔯 Basic n | ational fee | | | \$300 | \$ 300 | |
| 22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) | | | | \$ 200 | | |
| | | | | | | |
| 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | \$ 400 | | |
| | | | | | | |
| тс | TAL OF 21, 22 | 2 and 23 = | | | \$ 900 | |
| Additional fee for specification and drawngs filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | |
| Total Sheets | Extra Sheets | | ch additional 50 or fraction i up to a whole number) | RATE | | |
| - 100 = | /50 = | | | × \$250 | \$ | |
| Surcharge of \$130.0 claimed priority date | | | daration later than 30 months fr | | \$ | |
| CLAIMS | NUME | BER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 25 | - 20 = | 5 . | x \$ 50 | \$ 250 | 1. |
| Independent claims | 3 | - 3 = | | × \$200 | \$ | † |
| MULTIPLE DEPEND | DENT CLAIM(S | 3) (if applicable) | | + \$360 | \$ | |
| | | - 1-22 | TOTAL OF ABOVE | CALCULATIONS = | \$ 250 | † |
| Applicant claims | small entity sf | tatus. See 37 CFI | R 1.27. Fees above are reduc | ed by ½. | | |
| | | | | SUBTOTAL = | \$ 1150 | † |
| Processing fee of \$1 claimed priority date | | | h translation later than 30 montl | ths from the earliest + | \$ | |
| | | | TOTAL | NATIONAL FEE = | \$ 1150 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | ist be accompanied + | \$ | | |
| | | | TOTAL F | EES ENCLOSED = | \$ 1150 | |
| | | | | | Amount to be refunded: | \$ |
| | | | | | Amount to be charged: | \$ |
| a. 🗖 A check ir | n the amount of | f\$1150 | to cover the abc | ove fees is enclosed. | | |
| | | sit Account No sheet is enclosed. | | \$ to co | over the above fees. | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account NoA duplicate copy of this sheet is enclosed. | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | |
| SEND ALL CORRES | | | | 1 Qu | 1 | |
| | nn and Mood | • | | SIGNATURE | | |
| | 16 Silver Oak | k Way | | Vina | y V Joshi | |
| Cup 950 | pertino 114 | | | NAME 5103 | A | |
| | REGISTRATION NUMBER | | | | | |
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